

UNITED STATES DISTRICT COURT
DISTRICT OF NEVADA

* * *

ROBERT LECLAIR,

Plaintiff,

v.

JAMES DZURENDA, *et al.*,

Defendants.

Case No. 3:19-cv-00404-MMD-CLB

ORDER

I. SUMMARY

Plaintiff Robert LeClair, who is an inmate at Northern Nevada Correctional Center (“NNCC”) and represented by counsel, brings this action under 42 U.S.C. § 1983 against Defendants Martin Naughton, Charles Daniels, James Dzurenda, Harold Wickham, Romeo Aranas, and Michael Minev. (ECF No. 11.) Before the Court is the Report and Recommendation (“R&R”) of United States Magistrate Judge Carla L. Baldwin (ECF No. 37), recommending the Court grant Defendants’ motion for summary judgment (ECF No. 30 (“Motion”))¹ and close the case. Plaintiff filed an objection to the R&R.² (ECF No. 38 (“Objection”).) As further explained below, the Court will reject the R&R and sustain Plaintiff’s Objection³ because there is a genuine dispute of material fact as to whether Defendants were deliberately indifferent to Plaintiff’s serious medical needs by delaying his Hepatitis C (“Hep-C”) treatment. However, the Court will dismiss some Defendants,

¹Plaintiff responded (ECF No. 34) and Defendants replied (ECF No. 36) to the Motion. Defendants have also submitted sealed medical records in support of their Motion. (ECF No. 32.)

²Defendants responded to Plaintiff’s Objection. (ECF No. 39.)

³The Court notes that Plaintiff does not explicitly address Defendants’ personal participation and qualified immunity arguments in his Objection. (ECF No. 38.) However, Judge Baldwin declined to address these arguments in the R&R because she found that Plaintiff’s claim failed on the merits. (ECF No. 37 at 15 n.3.) Thus, the Court will independently address the arguments in this order. See 28 U.S.C. § 636(b)(1).

as detailed herein, because they undisputedly lack personal participation in the alleged Eighth Amendment violation. Accordingly, the Court will grant in part and deny in part Defendants' Motion.

II. BACKGROUND

The Court incorporates by reference and adopts Judge Baldwin's description of the case's factual background and procedural history provided in the R&R. (ECF No. 37 at 1-7.)

III. DISCUSSION

The Court will first reject the R&R and sustain Plaintiff's Objection because there is a genuine dispute of material fact as to whether Defendants were deliberately indifferent in treating Plaintiff's Hep-C. The Court will then dismiss specific Defendants because they undisputedly did not personally participate in the alleged Eighth Amendment violation.⁴ Finally, the Court will deny Defendants' Motion as to the qualified immunity issue because there is still a genuine dispute regarding whether the remaining Defendants were deliberately indifferent to Plaintiff's serious medical needs.

A. Eighth Amendment Deliberate Indifference Analysis

Plaintiff objects to Judge Baldwin's recommendation that the Motion should be granted for Plaintiff's Eighth Amendment deliberate indifference claim. (ECF No. 38.) In the R&R, Judge Baldwin found that Defendants affirmatively monitored and ultimately treated Plaintiff's Hep-C, and Plaintiff failed to provide sufficient evidence that the alleged treatment delay caused damage. (ECF No. 37 at 13-14.) Plaintiff counters that the delay in treatment was medically unacceptable, caused him to develop cirrhosis, and caused him to suffer painful Hep-C symptoms. (ECF No. 38 at 4-6.) The Court agrees with Plaintiff.

To establish an Eighth Amendment violation for deliberate indifference to an inmate's serious medical needs, a plaintiff must satisfy both an objective standard—that

⁴After screening of the second amended complaint (ECF No. 11 ("SAC")), only Plaintiff's Eighth Amendment deliberate indifference claim remains. (ECF No. 12.)

1 the deprivation was serious enough to constitute cruel and unusual punishment—and a
2 subjective standard—deliberate indifference.”⁵ *Snow v. McDaniel*, 681 F.3d 978, 985 (9th
3 Cir. 2012), *overruled on other grounds by Peralta v. Dillard*, 744 F.3d 1076 (9th Cir. 2014).
4 To satisfy the subjective prong, the prison official must be “both be aware of facts from
5 which the inference could be drawn that a substantial risk of serious harm exists . . . [and]
6 also draw the inference.” *Peralta*, 744 F.3d at 1086 (citation omitted). The prison official
7 is not liable if he knew of the substantial risk and acted reasonably, which is contingent
8 on the circumstances that “normally constrain what actions a state official can take.” *Id.*
9 at 1082 (citation omitted).

10 When a prisoner alleges that delay of medical treatment evinces deliberate
11 indifference, the prisoner must show that the delay led to further injury. *See Shapley v.*
12 *Nev. Bd. of State Prison Comm’rs*, 766 F.2d 404, 407 (9th Cir. 1985). Moreover, “[a]
13 difference of opinion between a prisoner-patient and prison medical authorities regarding
14 treatment” is insufficient. *Franklin v. State of Or., State Welfare Div.*, 662 F.2d 1337, 1344
15 (9th Cir. 1981) (citations omitted). Instead, the plaintiff must show that the treatment
16 course “was medically unacceptable under the circumstances” and chosen “in conscious
17 disregard of an excessive risk to plaintiff’s health.” *Toguchi v. Chung*, 391 F.3d 1051,
18 1058 (9th Cir. 2004) (citing *Jackson v. McIntosh*, 90 F.3d 330, 332 (9th Cir. 1996),
19 *overruled in part on other grounds by Peralta*, 744 F.3d 1076).

20 Although Defendants submitted some evidence of normal or “unremarkable” test
21 results for liver function, Plaintiff has presented other evidence that raises a genuine
22 dispute as to whether Defendants were deliberately indifferent and whether he was further
23 harmed by the treatment delay. First and foremost, Plaintiff’s medical records and clinical
24 symptoms suggest that he suffered liver damage and liver scarring due to the treatment
25 delay. Plaintiff was initially diagnosed with Hep-C around 2003, the Nevada Department
26 of Corrections (“NDOC”) has known about his diagnosis since at least 2012, but he did

27
28 ⁵The Court will focus its analysis on the subjective prong since the parties agree
that Hep-C constitutes a serious medical need.

1 not receive direct-acting antiviral (“DAA”) treatment⁶ until November 2019. (ECF No. 32-
 2 1 at 2-3.) By October 2018, Plaintiff already reached a fibrosure score of F3 or bridging
 3 fibrosis.⁷ (ECF No. 32-1 at 12.) Dr. Minev, the current NDOC Medical Director, explained
 4 that a F3 score could be late-stage fibrosis (liver scarring) or early cirrhosis. (ECF No. 34-
 5 3 at 13.) Dr. Naughton, Plaintiff’s NDOC medical doctor, agreed that a F3 score indicates
 6 “fairly severe scarring,” and Plaintiff would have been a candidate for DAA treatment had
 7 Medical Directive (“MD”) 219 not been in place.⁸ (ECF No. 34-1 at 5.)

8 Plaintiff was later diagnosed with compensated cirrhosis⁹ by Dr. Kevin Kuriakose
 9 and finally prescribed DAA treatment (Epclusa) in November 2019. (ECF No. 32-1 at 5,
 10 10.) According to Dr. Minev, when a Hep-C patient reaches cirrhosis, there is “end-stage
 11 damage to the liver.” (ECF No. 34-3 at 9.) Dr. Amanda Cheung,¹⁰ Plaintiff’s expert,
 12 explains that a patient may also develop liver failure and liver cancer at that stage. (ECF
 13

14 ⁶According to Dr. Cheung, DAA therapy is a “highly effective and well-tolerated
 15 treatment” that became available in the U.S. in 2014 and was “a key development in
 curing” Hep-C. (ECF No. 34-2 at 4.)

16 ⁷According to Dr. Minev, chronic Hep-C causes liver fibrosis, which is the “initial
 17 stage of liver scarring” or liver damage. (ECF Nos. 30-5 at 2, 34-3 at 8-9.) When the
 fibrosis increases, it may lead to cirrhosis of the liver, which is “end-stage damage to the
 18 liver” that forestalls common liver functions.” (ECF Nos. 30-5 at 2, 34-3 at 9.)

19 ⁸MD 219 was the protocol that governed NDOC’s treatment of Hep-C for inmates
 at the time of Plaintiff’s grievance. According to Defendants, a “committee made up of at
 20 least three senior member[s] of the medical department reviewed each HCV positive
 inmate and evaluated treatment options” and the “NDOC prioritized treatment based on
 21 an inmate[’s] APRI score.” (ECF No. 30 at 6.) Inmates with an APRI score below 2.0 did
 not receive priority for DAA treatment. (*Id.*) MD 219 has since been modified, following a
 22 consent decree, where all inmates with Hep-C “who do not make the voluntary choice to
 opt out of treatment, will be treated with DAAs. This applies to all inmates unless there
 23 are medical issues that would make doing so cause more harm.” (*Id.*)

24 ⁹Defendants argue that “objective testing” does not support Plaintiff’s diagnosis of
 cirrhosis. (ECF No. 36 at 4-5.) However, Defendants acknowledge that cirrhosis has been
 25 “referenced in some of [Plaintiff’s] medical records” and Dr. Kuriakose specifically
 diagnosed Plaintiff with compensated cirrhosis; Plaintiff also states in his affidavit that he
 26 was “called to medical to be told [he] [had] cirrhosis of the liver.” (ECF Nos. 32-1 at 10,
 34-6 at 2, 36 at 4.) Thus, whether or not Plaintiff actually reached cirrhosis is a disputed
 27 material fact which must be resolved at trial.

28 ¹⁰Dr. Cheung is a board-certified doctor, “with subspecialty certifications in
 gastroenterology (2017) and transplant hepatology (2018).” (ECF No. 34-2 at 6.) Her
 practice includes “general hepatology and transplant hepatology patients.” (*Id.*)

1 No. 34-2 at 4.) When viewed in the light most favorable to Plaintiff, a reasonable jury could
2 find that Plaintiff reached cirrhosis and suffered liver damage and significant liver scarring
3 due to the years-long treatment delay. See *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242,
4 248-51 (1986) (Summary judgment is not appropriate where reasonable minds could
5 differ on the material facts at issue); *Kaiser Cement Corp. v. Fishbach & Moore, Inc.*, 793
6 F.2d 1100, 1103 (9th Cir. 1986) (citation omitted) (In evaluating a summary judgment
7 motion, a court views all facts and draws all inferences in the light most favorable to the
8 nonmoving party).

9 Next, Plaintiff contends that the treatment delay caused him to suffer painful Hep-
10 C symptoms that affected his quality of life. (ECF Nos. 34-6 at 1-2, 38 at 4.) Plaintiff's
11 argument is supported by his medical records and affidavit. Plaintiff complained of fatigue,
12 inconsistent bowel movements, continuous and frequent drops in energy levels where he
13 did not want to get out of bed, loss of appetite, jaundice, the feeling of a "swollen" liver
14 and cramping, nausea, frequent abdominal pain, and the feeling that "somebody knocked
15 the wind out of" him. (ECF Nos. 32-1 at 3, 9, 34-6 at 1-2.) Dr. Minev confirmed that some
16 of these symptoms are found in patients with untreated Hep-C. (ECF No. 34-3 at 7-8.)
17 Plaintiff's abdominal sonogram from October 2019 also showed an enlarged liver and
18 increased liver echotexture. (ECF No. 32-4 at 2.) Dr. Naughton agreed that an enlarged
19 liver may be consistent with an injury from Hep-C. (ECF No. 34-1 at 6.) When viewed in
20 the light most favorable to Plaintiff, a reasonable jury could find that the years-long
21 treatment delay caused Plaintiff to endure painful Hep-C symptoms and injured his liver,
22 and that Defendants knew of the substantial risks to Plaintiff's health, but they still failed
23 to act reasonably. See *Kaiser*, 793 F.2d at 1103; *Peralta*, 744 F.3d at 1086.

24 The fact that Plaintiff finally received DAA treatment in November 2019 and has
25 no detectable HCV in his blood does not change the Court's analysis. (ECF Nos. 32-1 at
26 5-6, 32-5 at 3.) There remains a genuine dispute as to whether the harm Plaintiff
27 sustained during the years-long delay is reversible or permanent. First, in his affidavit,
28 Plaintiff attests under penalty of perjury that despite receiving DAA treatment, he

1 continues to suffer from liver issues and distress, and he is “currently waiting to see
2 medical for abdominal issues.” (ECF No. 34-6 at 2.) Next, the record suggests that once
3 Hep-C patients reach cirrhosis, some of the damage is irreversible and they need
4 continued monitoring for certain illnesses. For instance, Renown doctors explained in a
5 letter to Dzurenda that “[i]f the window of opportunity to treat early in the disease process
6 is missed, patients risk potentially irreversible complications such as vasculitis, cirrhosis,
7 liver failure and cancer.” (ECF No. 34-4 at 1.) Dr. Cheung likewise concurred that “[e]ven
8 after HCV cure, the risk of liver cancer persists.” (ECF No. 34-2 at 4.)

9 Plaintiff’s treatment plan from Dr. Kuriakose also stated that a “[p]atient with
10 cirrhosis will need continued surveillance for hepatocellular carcinoma with imaging +/-
11 labs per AASLD recommendations.” (ECF No. 32-1 at 6.) Most importantly, it is not the
12 role of the Court to determine the truth of whether Plaintiff incurred lasting harm at
13 summary judgment—the Court need only decide whether reasonable minds could differ
14 on an issue when interpreting the record. *See Anderson*, 477 U.S. at 249, 255 (citation
15 omitted); *Melnik v. Aranas*, Case No. 20-15471, 2021 WL 5768468, at *1 (9th Cir. Dec.
16 6, 2021) (finding that “the extent of the harm caused by the delay is a disputed question
17 of fact not appropriately answered at [the summary judgment] stage”). Here, viewing the
18 evidence in the light most favorable to Plaintiff, reasonable minds could differ as to
19 whether Plaintiff sustained irreversible and lasting liver damage.

20 Finally, the Court is unpersuaded by Defendants’ argument that Plaintiff’s Hep-C
21 treatment constituted a mere difference in opinion between Plaintiff and medical staff.
22 (ECF No. 39 at 6.) *See Franklin*, 662 F.2d at 1344. Plaintiff provides sufficient evidence,
23 where a reasonable jury could find that the treatment was “medically unacceptable under
24 the circumstances,” and in violation of the Eighth Amendment. *See Toguchi*, 391 F.3d at
25 1058 (citation omitted). As explained above, Plaintiff suffered debilitating physical Hep-C
26 symptoms and his disease had progressed to the compensated cirrhosis stage when he
27 received DAA treatment. (ECF Nos. 32-1 at 3, 10, 34-6 at 1-2.) A reasonable jury could
28 find that allowing Plaintiff’s disease to progress to cirrhosis before providing him with life-

1 saving treatment is “medically unacceptable” and “in conscious disregard of an excessive
2 risk to plaintiff’s health.” *Id.* Moreover, NDOC’s policy of prioritizing DAA treatment based
3 on inmates’ APRI scores likely contravened national and community guidelines. *See Balla*
4 *v. Idaho*, 29 F.4th 1019, 1026 (9th Cir. 2022) (noting that the community standard of care
5 outside the prison context is “*highly relevant*” in determining “what care is medically
6 acceptable and unacceptable”) (emphasis added, citations omitted). Dr. Minev explained
7 that DAA medications became readily available after 2013 and agreed that DAA treatment
8 is generally “the standard of care” for chronic Hep-C patients after clinical assessment.
9 (ECF No. 34-3 at 7-8.)

10 Additionally, when Renown doctors compared NDOC Hep-C protocols with
11 community standards and guidelines, they concluded there was “room for improvement”
12 and advocated for the testing and treatment of *all* Hep-C prisoners. (ECF No. 34-4 at 1-
13 2.) The doctors specifically warned that NDOC’s protocol can “lead to irreversible
14 complications” and can potentially miss diagnoses for the majority of patients. (*Id.* at 2.)
15 Dr. Cheung also opined that NDOC’s treatment protocol was “dated” and would only have
16 been reasonable *before* the advent of oral DAA therapies; DAA treatment is
17 recommended to *all* individuals regardless of fibrosis stage unless the individual has an
18 alternative chronic illness that could minimize life expectancy. (ECF No. 34-2 at 5.) Thus,
19 a reasonable jury could find that Defendants’ Hep-C policy failed to meet community
20 standards outside of prison and was medically unacceptable. *See Balla*, 29 F.4th at 1026;
21 *Toguchi*, 391 F.3d at 1058.

22 Accordingly, the Court rejects Judge Baldwin’s R&R, denies Defendants’ Motion
23 in part as to Plaintiff’s Eighth Amendment deliberate indifference claim, and sustains
24 Plaintiff’s Objection.

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B. § 1983 Personal Participation¹¹

Next, Defendants argue that they should be dismissed because they did not personally participate in the alleged Eighth Amendment violation. Defendants specifically contend that they either had no authority to order Plaintiff's treatment or they were not his treating physician. (ECF No. 30 at 10.) Plaintiff counters that Defendants are liable because they personally denied him treatment, or they made and implemented harmful Hep-C policies that denied him necessary care. (ECF Nos. 11 at 1-3, 34 at 3-5, 10-13.) The Court agrees that summary judgment should be granted as to some Defendants for lack of personal participation.

A defendant is liable under 42 U.S.C. § 1983 "only upon a showing of personal participation by the defendant." *Taylor v. List*, 880 F.2d 1040, 1045 (9th Cir. 1989) (citation omitted). A person deprives another "of a constitutional right, within the meaning of section 1983, if he does an affirmative act, participates in another's affirmative acts, or omits to perform an act which he is legally required to do that causes the deprivation of which [the plaintiff complains]." *Leer v. Murphy*, 844 F.2d 628, 633 (9th Cir. 1988) (emphasis in original, citation omitted). A supervisor is liable under § 1983 "if there exists either (1) his or her personal involvement in the constitutional deprivation, or (2) a sufficient causal connection between the supervisor's wrongful conduct and the constitutional violation." *Starr v. Baca*, 652 F.3d 1202, 1207 (9th Cir. 2011) (citation omitted); *see also Melnik*, 2021 WL 5768468, at *1 (citations omitted).

A defendant is also liable if he or she personally reviewed and responded to the plaintiff's grievance about the alleged constitutional deprivation, was aware of the plaintiff's condition and alternative recommendations, but still failed to prevent further harm. *See Colwell v. Bannister*, 763 F.3d 1060, 1070 (9th Cir. 2014); *Snow*, 681 F.3d at 989. However, "merely denying a grievance without some decision-making authority or

¹¹As noted above, the Court will independently address Defendants' personal participation and qualified immunity arguments, as Judge Baldwin declined to address these arguments in the R&R because she found that Plaintiff's claim failed on the merits. (ECF No. 37 at 15 n.3.)

1 ability to resolve the underlying issue grieved is not enough to establish personal
 2 participation.” *Countryman v. Sherman*, Case No. C19-01767-JCC-SKV, 2022 WL
 3 17406341, at *10 (W.D. Wash. Oct. 21, 2022) (citations omitted).

4 To start, the Court finds that summary judgment should be granted to Defendants
 5 Daniels, the current NDOC Director, and Wickham, the former NDOC Acting Director and
 6 current Deputy Director of Operations, for lack of personal participation. (ECF Nos. 11 at
 7 2, 30-3 at 2.) As to Daniels, there is nothing in the record to suggest that he has the
 8 authority to make medical decisions for inmates or formulates medical directives. The
 9 record, instead, suggests that those responsibilities are delegated to the Medical Director.
 10 (ECF No. 30-7 at 2.) Wickham similarly maintains that he did not have input in the
 11 formulation of NDOC Medical Directives and was not a part of any Hep-C panel. (*Id.*) He
 12 was also unaware of Plaintiff’s medical condition and Hep-C status. (*Id.*) See *Starr*, 652
 13 F.3d at 1207 (citation omitted); *Colwell*, 763 F.3d at 1070; *Snow*, 681 F.3d at 989. The
 14 mere fact that Daniels and Wickham are current NDOC Director or Deputy Director and
 15 are broadly responsible for NDOC budgeting and management do not suffice for § 1983
 16 personal participation.¹² (ECF No. 11 at 2.) Accordingly, the Court grants summary
 17 judgment in favor of Defendants Daniels and Wickham based on their lack of personal
 18 participation.

19 As to Defendant Dzurenda, who was NDOC Director until 2019, there remains a
 20 genuine dispute as to his personal involvement. (ECF Nos. 11 at 2, 30-4 at 2.) Although
 21 Dzurenda claims he was unaware of Plaintiff’s condition and never had any contact with
 22 him, Plaintiff included a June 2019 letter from Renown doctors that was explicitly
 23 addressed to Dzurenda. (ECF Nos. 30-4 at 2, 34-4 at 1.) In the letter, the Renown doctors
 24

25 ¹²The Ninth Circuit has held that a current warden and NDOC director are
 26 appropriate defendants in a plaintiff’s claim for injunctive relief because they “would be
 27 responsible for ensuring that injunctive relief was carried out,” even when they were not
 28 personally involved. See *Colwell*, 763 F.3d at 1070 (citation omitted). However, this
 holding, in and of itself, is insufficient for Daniels and Wickham to remain as Defendants
 in this case because Plaintiff has already received his requested injunctive relief and was
 treated with DAA drugs in 2019. (ECF Nos. 11, 32-1 at 3, 32-5 at 3.) The present case is
 only proceeding on monetary damages.

1 claimed that they met with Dzurenda on May 30, 2019, to discuss NDOC's Hep-C
2 treatment protocol. (ECF No. 34-4 at 1.) The letter also outlined the protocol's flaws and
3 the health consequences of delaying DAA treatment until an inmate's disease
4 progressed. (*Id.* at 1-2.) Because this letter was addressed to Dzurenda—not the NDOC
5 Medical Director, because Dzurenda personally met with the Renown doctors, and
6 because the doctors specifically made their recommendations to Dzurenda, a reasonable
7 jury could infer that Dzurenda had the decision-making authority to resolve the underlying
8 issues with NDOC's Hep-C protocol. (*Id.*) A reasonable jury could also find that
9 Dzurenda's failure to act, intervene, or remedy the flawed protocol deprived Plaintiff of
10 necessary DAA treatment and caused his disease to progress. *See Starr*, 652 F.3d at
11 1207 (citation omitted); *see also Melnik*, 2021 WL 5768468, at *1 (citations omitted). The
12 Court therefore denies summary judgment in favor of Dzurenda.

13 Plaintiff then argues that Defendant Naughton, a NDOC medical provider,
14 personally participated in the Eighth Amendment violation. (ECF Nos. 11 at 1, 34 at 3.)
15 The Court agrees. Naughton was Plaintiff's NDOC doctor and first saw him around June
16 2017. (ECF No. 34-1 at 4.) Although Naughton maintains that he was simply following
17 MD 219 when he denied Plaintiff DAA medications, Naughton also admits that he was a
18 member of the Hep-C Committee, where providers discussed the management and
19 treatment of Hep-C inmates at meetings. (ECF Nos. 34-1 at 3, 5, 34-3 at 20.) Naughton
20 consulted with other physicians on the Committee regarding patients' Hep-C treatment
21 course. (ECF No. 34-1 at 3.) In light of Dr. Naughton's own admissions and statements,
22 a reasonable jury could find that he was aware of Plaintiff's serious Hep-C condition,
23 aware that Plaintiff needed DAA treatment, had the authority to order such treatment due
24 to his position on the Hep-C Committee, but still failed to act to prevent harm. *See Colwell*,
25 763 F.3d at 1070; *Snow*, 681 F.3d at 989. The Court therefore denies summary judgment
26 in favor of Naughton.

27 Plaintiff also sues Defendant Aranas, the former NDOC Medical Director appointed
28 in 2013, and Minev, the current NDOC Medical Director appointed in October 2018, for

1 their personal participation in the alleged Eighth Amendment violation. (ECF Nos. 11 at
2 2-3, 30-5 at 2, 30-6 at 2.) As to Aranas, he admits that he was directly responsible for “the
3 formulation of health policy” including “developing and monitoring standards and
4 procedures for health care services” for NDOC inmates. (ECF No. 30-6 at 2.) In his
5 declaration, Wickham confirmed that the “medical providers at the various institutions are
6 under the direction of the Medical Director” and the “Medical Directives for the NDOC are
7 formulated and enforced by the Medical Director.” (ECF No. 30-7 at 2.) Thus, although
8 Aranas denies responding to Plaintiff’s grievances or having interactions with Plaintiff, a
9 reasonable jury could find that he was personally responsible for the treatment delay
10 because he formulated or helped formulate NDOC medical directives that deprived
11 Plaintiff of DAA drugs for years and caused Plaintiff’s Hep-C to progress to cirrhosis. (ECF
12 Nos. 30-6 at 2, 30-7 at 2.) See *Starr*, 652 F.3d at 1207; see also Melnik, 2021 WL
13 5768468, at *1 (citations omitted). The Court therefore denies summary judgment in favor
14 of Aranas.

15 Finally, there is substantial evidence in the record to support Minev’s personal
16 participation in the Eighth Amendment violation. As current NDOC Medical Director,
17 Minev oversees the advanced chronic Hep-C treatment program, reviews the test results
18 and medical records of Hep-C inmates, and decides which inmates need advanced Hep-
19 C treatment. (ECF No. 30-5 at 3.) As noted above, Wickham confirmed that the NDOC
20 Medical Director also formulates and enforces NDOC Medical Directives. (ECF No. 30-7
21 at 2.) In fact, Minev admitted in his deposition that he helped revise MD 219 for Hep-C
22 treatment protocol and may have been a member of the Utilization Review Committee.
23 (ECF No. 34-3 at 10, 21 (“It’s a committee where we discuss offenders’ medical conditions
24 and coordinate their care with outside medical entities or get certain medications, such
25 as non-formulary medications, for their treatment”) (emphasis added).) Thus, a
26 reasonable jury could find that Minev personally participated because he helped formulate
27 and revise NDOC directives that deprived Plaintiff of DAA drugs and caused his Hep-C
28 to progress. See *Starr*, 652 F.3d at 1207.

1 Additionally, Minev personally reviewed Plaintiff's grievance in May 2019 and
 2 denied him treatment because he did not meet the NDOC's criteria—a criteria he helped
 3 formulate. (ECF No. 30-2 at 2.) By that time, Plaintiff's medical records indicate that he
 4 had progressed to F3 bridging fibrosis or late-stage liver scarring and would soon be
 5 diagnosed with compensated cirrhosis. (ECF Nos. 32-1 at 5, 12, 34-3 at 13.) Despite
 6 acknowledging that untreated Hep-C can lead to liver failure, neurological issues, and
 7 gastrointestinal issues, Minev still denied Plaintiff Hep-C treatment. (ECF No. 34-3 at 7.)
 8 When viewed in the light most favorable to Plaintiff, a reasonable jury could find that Minev
 9 was aware of Plaintiff's serious Hep-C condition, aware that he needed DAA drugs, and
 10 aware of the serious consequences of denying those drugs, but still failed to provide
 11 Plaintiff with necessary treatment and prevent further harm. *See Colwell*, 763 F.3d at
 12 1070 (finding summary judgment inappropriate because the NDOC Medical Director
 13 personally denied Colwell's second-level grievance when he was aware that an
 14 optometrist had recommended surgery); *Snow*, 681 F.3d at 989 (finding that the warden
 15 and associate warden were not entitled to summary judgment because they were aware
 16 of Snow's serious hip condition, aware he needed surgery after reviewing a "no-kneel"
 17 order that stated he needed hip surgery, and still failed to act); *Melnik*, 2021 WL 5768468,
 18 at *1 (finding there was "significant evidence of Dr. Aranas's personal involvement"
 19 because he was "chair of the two-person committee making approvals and handing down
 20 denials" and responded to one of the plaintiff's grievances for treatment). The Court
 21 therefore denies summary judgment in favor of Minev.

22 In sum, Defendants' Motion is granted as to Charles Daniels and Harold Wickham
 23 for lack of personal participation, and denied as to James Dzurenda, Martin Naughton,
 24 Michael Minev, and Romeo Aranas.¹³

25 _____
 26 ¹³In the SAC, Plaintiff appears to be suing Defendants in their official capacity.
 27 (ECF No. 11 at 1-3.) The Court will nevertheless construe Plaintiff's claim as against
 28 Defendants in their individual capacity as well, and will amend the pleading under Fed.
 R. Civ. P. 15(b). *See Desertrain v. City of L.A.*, 754 F.3d 1147, 1154 (9th Cir. 2014)
 ("[L]eave to amend shall be freely given when justice so requires" and "[f]ive factors are
 taken into account to assess the propriety of a motion for leave to amend: bad faith, undue

1 C. Qualified Immunity

2 Defendants argue that they are entitled to qualified immunity because other circuit
3 courts have found that the failure to promptly provide inmates with specific treatment does
4 not violate the Eighth Amendment, and some Defendants lacked knowledge and authority
5 to order medical treatment. (ECF No. 30 at 12.) The Court disagrees.

6 The doctrine of qualified immunity “balances two important interests—the need to
7 hold public officials accountable when they exercise power irresponsibly and the need to
8 shield officials from harassment, distraction, and liability when they perform their duties
9 reasonably.” *Pearson v. Callahan*, 555 U.S. 223, 231 (2009). In deciding whether a
10 government official is entitled to qualified immunity, the Court asks “(1) whether the
11 official's conduct violated a constitutional right; and (2) whether that right was ‘clearly
12 established’ at the time of the violation.” *Hines v. Youseff*, 914 F.3d 1218, 1228 (9th Cir.
13 2019) (citing *Castro v. Cnty. of L.A.*, 833 F.3d 1060, 1066 (9th Cir. 2016) (en banc)).
14 However, the Court has discretion “in deciding which of the two prongs of the qualified
15 immunity analysis should be addressed first in light of the circumstances in the particular
16 case at hand.” *Pearson*, 555 U.S. at 236.

17 The Court will exercise its discretion and first address whether the remaining
18 Defendants’ conduct violated a constitutional right. See *id.* As stated above, there is still
19 a genuine dispute of material fact as to whether Dzurenda, Aranas, Minev, and Naughton
20 were deliberately indifferent to Plaintiff’s serious medical needs under the Eighth

21
22 _____ delay, prejudice to the opposing party, futility of amendment, and whether the plaintiff has
23 previously amended the complaint”) (citations and quotation marks omitted). Here,
24 although Plaintiff has already amended his complaint twice, there is no evidence of bad
25 faith, no undue delay and prejudice to Defendants since Defendants were notified by
26 Plaintiff’s SAC that he was pursuing monetary damages, and the amendment would not
27 be futile since Defendants, who would otherwise be dismissed due to a procedural error,
28 can remain in this lawsuit. The Court will therefore grant amendment and construe
Plaintiff’s Eighth Amendment claim as proceeding against Defendants in their official and
individual capacities. However, because Plaintiff has already received his requested
injunctive relief (DAA treatment), and a claim for monetary damages against an official
sued in his official capacity is barred by the Eleventh Amendment, this case will only
proceed against remaining Defendants Dzurenda, Naughton, Minev, and Aranas in their
individual capacities. (ECF Nos. 32-1 at 6, 32-5 at 3.) See *Doe v. Lawrence Livermore
Nat’l Lab.*, 131 F.3d 836, 839 (9th Cir. 1997).

1 Amendment. Therefore, it is uncertain at this point whether they violated a constitutional
2 right, and they are not entitled to qualified immunity at this time. Defendants' Motion is
3 therefore denied as to the qualified immunity issue.

4 **IV. CONCLUSION**

5 The Court notes that the parties made several arguments and cited to several
6 cases not discussed above. The Court has reviewed these arguments and cases and
7 determines that they do not warrant discussion as they do not affect the outcome of the
8 issues before the Court.

9 It is therefore ordered that Plaintiff's objection (ECF No. 38) to the Report and
10 Recommendation of U.S. Magistrate Judge Carla L. Baldwin is sustained.

11 It is further ordered that Judge Baldwin's Report and Recommendation (ECF No.
12 37) is rejected.

13 It is further ordered that Defendants' motion for summary judgment (ECF No. 30)
14 is granted in part and denied in part. The motion is granted as to Defendants Charles
15 Daniels and Harold Wickham; the motion is denied as to Defendants Martin Naughton,
16 James Dzurenda, Romeo Aranas, and Michael Minev.

17 It is further ordered that under LR 16-5, the Court finds that it is appropriate to refer
18 this case to Judge Baldwin to conduct a settlement conference. If the parties do not settle,
19 the Joint Pretrial Order is due within 30 days of the date the settlement conference is
20 held.

21 DATED THIS 2nd Day of February 2023.

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24 MIRANDA M. DU
25 CHIEF UNITED STATES DISTRICT JUDGE
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